

RESOURCE LIST FOR DEPARTMENT CHAIRS 2022-23

Your Dean's Office: First stop for assistance is your dean's office.

Academic & Staff Assistance Program (ASAP)

<u>Davis</u>: Rob Starkey, Director; <u>rstarkey@ucdavis.edu</u> Guilbert House, 112 A Street, Davis – (530) 752-2727

Sacramento: Carol Kirshnit, Director; cekirshnit@ucdavis.edu

Ticon III, Room 2100, 2730 Stockton Blvd., Sacramento -- (916) 734-2727

https://www.hr.ucdavis.edu/departments/asap

Offers confidential, cost-free assessment, intervention, consultation and referral services to all UCD/UCDMC faculty, staff and their immediate families.

Academic Affairs - Office of the Vice Provost

<u>Paul Harris, Director, Academic Employment and Labor Relations, peharris@ucdavis.edu</u> 2nd floor, Mrak Hall.

Coordinates and manages faculty misconduct allegations and investigations. Provides support on matters involving represented academic appointees and other academic labor relations issues.

Binnie Singh, Assistant Vice Provost, binsingh@ucdavis.edu

2nd floor, Mrak Hall.

Provides advice and consultation to deans' offices and departments/chairs on issues related to minimizing conflicts involving academic appointees. Delivers workshops in areas of academic personnel management, including customized workshops.

http://academicaffairs.ucdavis.edu/index.html

Accounting and Financial Services

Sarah Mangum, Associate Vice Chancellor, Budget, semangum@ucdavis.edu Matt Okamoto, Assistant Vice Chancellor & Controller, Finance, Operations and Administration, mwokamoto@ucdavis.edu

Audit and Management Advisory Services

Leslyn Kraus, Director, lakeraus@ucdavis.edu
Tony Firpo, Associate Director, affirpo@ucdavis.edu
Ryan Dickson, Audit Manager, restinction

https://audit.ucdavis.edu/

Provide the Regents, President, campus Chancellors and Laboratory Director independent and objective assurance and consulting services designed to add value and to improve operations.



Compliance Office-

Davis: Wendi Delmendo, Chief Compliance Officer/Title IX Officer/ADA Officer

(530) 752-9466, wjdelmendo@ucdavis.edu

Sacramento: Melinda Mendoza, Chief Compliance and Privacy Officer, UCDH,

(916) 734-8808, ccomendoza@ucdavis.edu

https://compliance.ucdavis.edu

Provides guidance on issues related to ADA and Title IX compliance and whistleblower retaliation.

https://health.ucdavis.edu/compliance/about/

Disability Management Services (DMS)

<u>Davis</u>: (530) 752-6019 <u>Sacramento</u>: (916) 734-3553

https://hr.ucdavis.edu/departments/elr/dms

Provides technical assistance and consultation to supervisors and managers on all aspects of disability management, compliance, and reasonable accommodation.

Employee and Labor Relations, Human Resources (E&LR)

<u>Davis</u>: (530) 754-8892 <u>Sacramento</u>: (916) 734-3362

https://www.hr.ucdavis.edu/departments/elr

Provides guidance to managers, supervisors and employees on staff Human Resources issues, including interpretation of policies and procedures and non-academic collective bargaining agreements.

https://hr.ucdavis.edu/departments/elr/unit-assignments-health

Harassment & Discrimination Assistance and Prevention Program (HDAPP)

Danesha Nichols, Director, dnnichols@ucdavis.edu

Davis: (530) 747-3864 // Davis Anonymous Call Line: (530) 747-3865

Sacramento: (916) 734-3417 // Sacramento Anonymous Call Line: (916) 734-2255

https://hdapp.ucdavis.edu/

Prevents harassment and discrimination by educating the campus community about these issues; assists individuals and campus units to resolve conflicts related to sexual harassment, discrimination, sexual violence, hate and bias; and serves as the central office for reporting/maintaining records of these complaints.

Center for Advocacy, Resources, & Education (CARE)

Sarah Meredith, Director, sameredith@ucdavis.edu

General call line: (530) 752-3299 General email: <u>ucdcare@ucdavis.edu</u>

https://care.ucdavis.edu/

Office of the Ombuds

Lauren Bloom, Director, Inbloom@ucdavis.edu

Davis: 207 3rd St., Suite 220, Davis, (530) 754-7233

Sacramento: 2360 Stockton Blvd., Suite 1300, Sacramento, (916) 734-1600

https://ombuds.ucdavis.edu

Confidential, independent, impartial, and informal problem-solving and conflict management resource for all members of the UC Davis and UC Davis Health campus communities.



Office of Research

Research Compliance and Integrity

https://research.ucdavis.edu/

https://research.ucdavis.edu/industry/for-researchers/

Oversight and administrative support regarding research misconduct, research ethics, financial disclosures, export control, human tissue and specimens, stem cell research, boating/diving safety, etc.

Institutional Review Board (IRB) Administration

http://research.ucdavis.edu/policiescompliance/irb-admin,

General Questions (916) 703-9158 or send an email inquiry to hs-irbeducation@ucdavis.edu

John Tupin, Director, (916) 703-9146, jdtupin@ucdavis.edu

Human subjects in research.

Safety Services

(530) 752-1493

https://safetyservices.ucdavis.edu/

For a complete listing of Safety Services staff, please visit the Who Do I Call? resource list.

Learning and Development (See Academic Affairs for faculty development)

At UC Davis and UC Davis Health

https://hr.ucdavis.edu/departments/learning-dev

Offers scheduled training courses on a variety of topics including: diversity awareness, communication skills, leadership development, sexual harassment/discrimination prevention, etc. Can provide customized training and referrals to other external consultants upon request.

Student Issues

Office of Student Support and Judicial Affairs (OSSJA) // (530) 752-1128 // http://ossja.ucdavis.edu/ Stacy Vander Velde, vandervelde@ucdavis.edu

Supports the University's educational mission by upholding standards of academic honesty and responsible behavior, promoting student development, and by assisting students in need.

Student Disability Center (SDC) http://sdc.ucdavis.edu/

Jennifer Billeci, Director, sdc@ucdavis.edu

Campus unit designated to receive requests for accommodation, approve services, and coordinate support for students with disabilities to ensure equal access to the University's educational programs

Student Health and Counseling Services (SHCS) https://shcs.ucdavis.edu/counseling-services (530) 752-2349 (student appointments) // (530) 752-2351 (faculty consultation) //219 North Hall Confidential, cost-sensitive physical and mental health services for students

Students of Concern Response Team (530) 752-1128 https://ossja.ucdavis.edu/uc-davis-students-concern-response-team-scrt. Includes representatives from OSSJA, Student Housing, Counseling Services, Student Disability Center, UC Davis Police, Campus Counsel, Academic and Staff Assistance Program, Center for Advocacy Resources and Education.

Reviews behavioral incidents to ensure a systematic response to students whose behavior may be disruptive or harmful to themselves or the UC Davis community.



Workplace Violence Prevention Committee

Chair: Rob Starkey, Director, Academic & Staff Assistance Program, (530) 752-2727

https://www.hr.ucdavis.edu/departments/elr/workplace-violence

Evaluates issues and coordinates responses in cases of non-emergency workplace intimidation, threat of violence or act of violence.

POLICIES

APM 015, Faculty Code of Conduct

http://www.ucop.edu/academic-personnel-programs/_files/apm/apm-015.pdf

Outlines the rights and responsibilities of faculty, including standards of conduct and examples of unacceptable conduct. Faculty, staff and students may file complaints of alleged misconduct.

APM 016, University Policy on Faculty Conduct and the Administration of Discipline

http://www.ucop.edu/academic-personnel-programs/ files/apm/apm-016.pdf

Outlines the disciplinary process and forms of discipline, and the authority for administering discipline.

APM 140, Non-Senate Academic Appointees/Grievances

http://www.ucop.edu/academic-personnel-programs/files/apm/apm-140.pdf

Provides non-Senate academic appointees the opportunity to present grievances.

APM 150, Non-Senate Academics Disciplinary Process

http://www.ucop.edu/academic-personnel-programs/ files/apm/apm-150.pdf

Provides the standards and procedures for instituting corrective action or dismissal of non-Senate academic appointees.

APM 025, Conflict of Commitment and Outside Activities of Faculty Members

http://www.ucop.edu/academic-personnel-programs/ files/apm/apm-025-07-01.pdf

Provides guidance for the identification and management of outside professional activities in order to avoid conflicts of commitment, while assuring that faculty subject to this policy may engage in a wide array of outside activities without unnecessary limitations.

APM 671, Conflict of Commitment and Outside Activities - HSCP

http://www.ucop.edu/academic-personnel-programs/ files/apm/apm-671.pdf

Provides guidance for identification and management of outside professional activities of faculty members and HSCP Plan members in order to avoid conflicts of commitment while assuring that faculty may engage in a wide array of outside activities without necessary limitations.

APM 075, Termination for Incompetent Performance

https://www.ucop.edu/academic-personnel-programs/files/apm/apm-075.pdf



Articulates the conditions under which faculty with tenure or with Security of Employment (SOE) may be terminated for incompetent performance. Specifies the standards for determination of incompetent performance; and the procedure to terminate a tenured professor for incompetence.

APM 035, Affirmative Action and Nondiscrimination in Employment

http://www.ucop.edu/academic-personnel-programs/_files/apm/apm-035.pdf

Addresses non-discrimination (including retaliation and sexual harassment) in academic employment. States the University's commitment to apply every good faith effort to achieve prompt and full utilization of minorities and women in all segments of its workforce where deficiencies exist. These efforts conform to all current legal and regulatory requirements and are consistent with University standards of quality and excellence.

PPM 400-15, Complaints of Discrimination or Harassment

https://ucdavispolicy.ellucid.com/documents/view/39

Provides procedures for academic appointees, staff and students to report allegations of discrimination or harassment, and procedures for responding to those reports.

PPM 390-30, Bullying and Abusive Conduct in the Workplace

https://ucdavispolicy.ellucid.com/documents/view/105/active/

Describes the resources and processes available to address and prevent bullying and abusive conduct in the workplace, including definitions and procedures for reporting and responding to reports. (NOTE: UC systemwide policy is expected to be issued this academic year.)

University of California Policy on Sexual Harassment and Sexual Violence

https://policy.ucop.edu/doc/4000385/SVSH

Addresses the University of California's responsibilities and procedures related to Prohibited Conduct in order to ensure an equitable and inclusive education and employment environment free of sexual violence and sexual harassment. The Policy defines conduct prohibited by the University of California and explains the administrative procedures the University uses to resolve reports of Prohibited Conduct.

PPM 400-20, Sexual Harassment and Sexual Violence

https://ucdavispolicy.ellucid.com/documents/view/41

Provides guidance to those who believe they have been subject to sexual violence or sexual harassment, or have been accused of sexual violence or sexual harassment, as defined by the UC Policy on Sexual Violence and Sexual Harassment, and describes the University's actions to address those complaints through administrative channels. This policy supplements the UC Policy on Sexual Violence and Sexual Violence.

PPM 400-05, Fraud Risk Management (Whistleblower and Retaliation policy)

https://ucdavispolicv.ellucid.com/documents/view/553/active/

Outlines policy and procedure and responsibilities related to the University's Fraud Risk Management Program, which includes internal controls for the prevention, detection, and management of improper government activities.

APM 520 Employment of Near Relatives

https://www.ucop.edu/academic-personnel-programs/ files/apm/apm-520.pdf

PPM 380-13, Near Relatives and Consensual Relationships

https://ucdavispolicy.ellucid.com/documents/view/547/567/



Describe the policies and procedures to manage employment of near relatives within the same division, department, program, or unit; and consensual relationships between members of the University community. "Near relatives" includes individuals residing within the same household as the employee.

Employee Contracts – grievance processes vary by bargaining unit (note there are academic employees who are represented by contracts.)

https://www.hr.ucdavis.edu/departments/elr/contracts-policies

POLICIES RELATED TO ADVANCEMENT

APM 210 https://ucop.edu/academic-personnel-programs/files/apm/apm-210.pdf Lists the review criteria for Academic Senate Series

APM 220 https://ucop.edu/academic-personnel-programs/files/apm/apm-220.pdf
Describes system-wide policy for merits/promotions in the Professor series

APM 285 https://ucop.edu/academic-personnel-programs/_files/apm/apm-285.pdf
Describes system-wide policy for the Lecturer SOE series, Professors of Teaching

APM UCD 220 and APM UCD 285

https://aadocs.ucdavis.edu/policies/apm/ucd-220/ucd-220-(9-5-06).pdf https://aadocs.ucdavis.edu/policies/apm/ucd-285.pdf

Describe campus implementation of APM 220 and APM 285 plus our procedures, checklists, and sample letters



POLICIES RELATED TO ALCOHOL AND MARIJUANA (not exhaustive)

PPM 380-18: Use of Intoxicating Substances

https://ucdavispolicy.ellucid.com/documents/view/555/active/

Outlines the policy and responsibilities for the use of intoxicating substances on University property, and resources available to assist individuals seeking support or treatment programs. This section applies to all UC Davis employees and students.

PPM 290-70: Controlled Substances

https://ucdavispolicy.ellucid.com/documents/view/313

Describes the requirements and procedures applicable to the procurement, storage, use, transfer, disposal, and inspections of controlled substances used for research and teaching activities at all locations that are the responsibility of UC Davis.

PPM 270-21: Sales, Service and Consumption of Alcoholic Beverages

https://ucdavispolicy.ellucid.com/documents/view/249

Outlines policy pertaining to the sale, service, and consumption of alcoholic beverages on all property owned or leased by the University. This policy complies with State laws relating to alcoholic beverages. Information regarding the purchase of alcoholic beverages with University-controlled funds is described in Section 330-80

PPM 330-80: Entertainment and Hospitality with University-Controlled Funds https://ucdavispolicy.ellucid.com/documents/view/453

Outlines policy and procedures governing the manner and extent to which the University may extend hospitality for business purposes. Section VI.A. and B. relate specifically to alcohol.